

Self-certification Form – Individual
(Sample form for reference and to be adopted by financial institutions)

Example 1
Foreigners who
work in Macao

1 Identification of Individual Account Holder (for joint or multiple account holders, please complete a separate form for each account holder)				
Mr. <input checked="" type="checkbox"/>	<input type="checkbox"/>	<u>Chan</u> Surname	<u>Tai Man</u> Given Name	
Date of Birth		<u>20 / 10 / 1969</u> (dd / mm / yyyy)	Place of Birth	<u>China</u> Country
		<u>Beijing</u> City		
2 Information on Address of Individual Account Holder				
2.1 Current Residence Address				
Line1: (Street, Number, Building, Floor, Room) <u>Xiangzhou District Jida Street, Xiangzhou Garden Block 3 Room 203</u>				
Line2: (City) <u>Zhuhai</u>				
Line3: (Province, State) <u>Guangdong</u>				
Country <u>China</u> Postal / Zip Code _____				
2.2 Mailing Address (please only complete if mailing address is different to the current residence address)				
Line1: (Street, Number, Building, Floor, Room) _____				
Line2: (City) _____				
Line3: (Province, State) _____				
Country _____ Postal / Zip Code _____				
3 Jurisdiction of Residence and Taxpayer Identification Number or functional equivalent number (hereinafter referred as “TIN”)				
Please complete the following table, indicating (a) the jurisdiction of residence (including Macao SAR) of the Account Holder and (b) the Account Holder’s TIN for each jurisdiction indicated.				
If the Account Holder has more than three jurisdictions of residence, please use a separate sheet.				
If the Account Holder has tax obligation in the Macao SAR, the TIN is the taxpayer number or the Macao SAR resident identity card number.				
If a TIN is unavailable, please provide the appropriate reason:				
Reason A – The jurisdiction of residence of the Account Holder does not issue TINs to its residents.				
Reason B – The Account Holder is otherwise unable to obtain a TIN. (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)				
Reason C – No TIN is required. (The authorities of the jurisdiction of residence do not require the TIN to be disclosed)				
Jurisdiction of residence	TIN	If no TIN available, enter Reason A, B or C	If Reason B is selected, please explain why you are unable to obtain a TIN	
(1) <u>Macao</u>	<u>49XXXXXX</u>		<div style="background-color: yellow; padding: 5px; border: 1px solid black;"> Account Holder has stayed in Macao for 183 days or more due to work, so is regarded as the tax resident of Macao, and should fill in the Taxpayer number on Salaries Tax. </div>	
(2) <u>China</u>	<u>440XXXXXXXXXXXXXXX</u>			
(3)				

If an Account Holder has foreign tax residency(ies), he/she is required to indicate the jurisdiction of tax residence(s) and the relevant TIN(s).

4 Declarations and Signature**Example 1**
Foreigners who
work in Macao

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the relationship with XX Bank (Financial Institution's name), setting out how XX Bank (Financial Institution's name) may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the Macao SAR Financial Services Bureau and exchanged with tax authorities of such jurisdictions of residence of the Account Holder, pursuant to agreements for exchange financial account information.

I certify that I am the Account Holder / I am authorized to sign for the Account Holder of all the account(s) to which this form relates^{#1}.

I undertake to advise XX Bank (Financial Institution's name) of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide XX Bank (Financial Institution's name) with a suitably updated self-certification within xx^{#2} days of such change in circumstances.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

Note :

If you are not the Account Holder, please indicate the capacity in which you are signing the form.

Name _____

Capacity _____

If signing under a power of attorney please also attach a certified copy of the power of attorney.

Signature Chan Tai Man

Date 08 / 03 / 2024
(dd / mm / yyyy)

^{#1} Delete where not applicable.

^{#2} Financial Institutions may determine the time-limits (for example "30 days") pursuant to "The Common Reporting Standard and the Due Diligence Procedures for Financial Account Information" and their own procedures.

Note :

- With regard to the interpretation of the terms used in this form, please refer to Article 8 of the "The Common Reporting Standard and the Due Diligence Procedures for Financial Account Information".

Self-certification Form – Individual
(Sample form for reference and to be adopted by financial institutions)

Example 2
Foreigners who
study in Macao

1 Identification of Individual Account Holder (for joint or multiple account holders, please complete a separate form for each account holder)				
Mr. <input checked="" type="checkbox"/>		Park	Soo Ho	
Ms. / Mrs. <input type="checkbox"/>		Surname	Given Name	Middle Name
Date of Birth	18 / 2 / 2003 (dd / mm / yyyy)	Place of Birth	Bushan	Korea
			City	Country
2 Information on Address of Individual Account Holder				
2.1 Current Residence Address				
Line1: (Street, Number, Building, Floor, Room) Avenida de Mar, N.º 72, Jardim Mar, Bloco 2, 4 Andar L				
Line2: (City) Macao				
Line3: (Province, State)				
Country China Postal / Zip Code				
2.2 Mailing Address (please only complete if mailing address is different to the current residence address)				
Line1: (Street, Number, Building, Floor, Room)				
Line2: (City)				
Line3: (Province, State)				
Country Postal / Zip Code				
3 Jurisdiction of Residence and Taxpayer Identification Number or functional equivalent number (hereinafter referred as “TIN”)				
<p>Please complete the following table, indicating (a) the jurisdiction of residence (including Macao SAR) of the Account Holder and (b) the Account Holder’s TIN for each jurisdiction indicated.</p> <p>If the Account Holder has more than three jurisdictions of residence, please use a separate sheet.</p> <p>If the Account Holder has tax obligation in the Macao SAR, the TIN is the taxpayer number or the Macao SAR resident identity card number.</p> <p>If a TIN is unavailable, please provide the appropriate reason:</p> <p>Reason A – The jurisdiction of residence of the Account Holder does not issue TINs to its residents.</p> <p>Reason B – The Account Holder is otherwise unable to obtain a TIN. (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)</p> <p>Reason C – No TIN is required. (The authorities of the jurisdiction of residence do not require the TIN to be disclosed)</p>				
Jurisdiction of residence	TIN	If no TIN available, enter Reason A, B or C	If Reason B is selected, please explain why you are unable to obtain a TIN	
(1) Macao		B	Staying in Macao as student	
(2)				
(3)				

Account Holder has stayed in Macao for 183 days or more due to study and is regarded as the tax resident of Macao. However, he does not have a TIN, so should select reason B with explanation provided.

4 Declarations and Signature**Example 2**
Foreigners who
study in Macao

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing my relationship with XX Bank (Financial Institution's name), setting out how XX Bank (Financial Institution's name) may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the Macao SAR Financial Services Bureau and exchanged with tax authorities of such jurisdictions of residence of the Account Holder, pursuant to agreements for exchange financial account information.

I certify that I am the Account Holder / I am authorized to sign for the Account Holder of all the account(s) to which this form relates^{#1}.

I undertake to advise XX Bank (Financial Institution's name) of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide XX Bank (Financial Institution's name) with a suitably updated self-certification within xx^{#2} days of such change in circumstances.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

Note :

If you are not the Account Holder, please indicate the capacity in which you are signing the form.

Name _____

Capacity _____

If signing under a power of attorney please also attach a certified copy of the power of attorney.

Signature Park Soo Ho

Date 25 / 11 / 2022
(dd / mm / yyyy)

^{#1} Delete where not applicable.

^{#2} Financial Institutions may determine the time-limits (for example "30 days") pursuant to "The Common Reporting Standard and the Due Diligence Procedures for Financial Account Information" and their own procedures.

Note :

- With regard to the interpretation of the terms used in this form, please refer to Article 8 of the "The Common Reporting Standard and the Due Diligence Procedures for Financial Account Information".

Self-certification Form – Individual
(Sample form for reference and to be adopted by financial institutions)

Example 3
Individuals who reside overseas and do not have habitual residence in Macao

1 Identification of Individual Account Holder (for joint or multiple account holders, please complete a separate form for each account holder)				
Mr. <input type="checkbox"/>	<u>Mitchell</u>		<u>Kylie</u>	<u>Alison</u>
Ms. / Mrs. <input checked="" type="checkbox"/>	Surname		Given Name	Middle Name
Date of Birth	<u>18 / 2 / 1989</u> (dd / mm / yyyy)	Place of Birth	<u>Melbourne</u>	<u>Australia</u>
			City	Country

2 Information on Address of Individual Account Holder	
2.1 Current Residence Address	
Line1: (Street, Number, Building, Floor, Room) <u>64 Pringle Avenue, Hurstville House, Room 302</u>	
Line2: (City) <u>Sydney</u>	
Line3: (Province, State) <u>New South Wales</u>	
Country <u>Australia</u>	Postal / Zip Code _____
2.2 Mailing Address (please only complete if mailing address is different to the current residence address)	
Line1: (Street, Number, Building, Floor, Room) _____	
Line2: (City) _____	
Line3: (Province, State) _____	
Country _____	Postal / Zip Code _____

3 Jurisdiction of Residence and Taxpayer Identification Number or functional equivalent number (hereinafter referred as “TIN”)			
Please complete the following table, indicating (a) the jurisdiction of residence (including Macao SAR) of the Account Holder and (b) the Account Holder’s TIN for each jurisdiction indicated.			
If the Account Holder has more than three jurisdictions of residence, please use a separate sheet.			
If the Account Holder has tax obligation in the Macao SAR, the TIN is the taxpayer number or the Macao SAR resident identity card number.			
If a TIN is unavailable, please provide the appropriate reason:			
Reason A – The jurisdiction of residence of the Account Holder does not issue TINs to its residents.			
Reason B – The Account Holder is otherwise unable to obtain a TIN. (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)			
Reason C – No TIN is required. (The authorities of the jurisdiction of residence do not require the TIN to be disclosed)			
Jurisdiction of residence	TIN	If no TIN available, enter Reason A, B or C	If Reason B is selected, please explain why you are unable to obtain a TIN
(1) <u>Australia</u>	<u>xxxxxxxxxx</u>		
(2)			
(3)			

Account Holder has stayed in Macao for less than 183 days and does not have habitual residence in Macao, so he/she is not considered as the tax resident of Macao and should only fill in the foreign tax residency(ies).

4 Declarations and Signature**Example 3**

Individuals who reside overseas and do not have habitual residence in Macao

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the relationship with XX Bank (Financial Institution's name), setting out how XX Bank (Financial Institution's name) may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the Macao SAR Financial Services Bureau and exchanged with tax authorities of such jurisdictions of residence of the Account Holder, pursuant to agreements for exchange financial account information.

I certify that I am the Account Holder / I am authorized to sign for the Account Holder of all the account(s) to which this form relates^{#1}.

I undertake to advise XX Bank (Financial Institution's name) of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide XX Bank (Financial Institution's name) with a suitably updated self-certification within xx^{#2} days of such change in circumstances.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

Note :

If you are not the Account Holder, please indicate the capacity in which you are signing the form.

Name _____

Capacity _____

If signing under a power of attorney please also attach a certified copy of the power of attorney.

Signature Kylie Mitchell

Date 12 / 10 / 2023
(dd / mm / yyyy)

^{#1} Delete where not applicable.

^{#2} Financial Institutions may determine the time-limits (for example "30 days") pursuant to "The Common Reporting Standard and the Due Diligence Procedures for Financial Account Information" and their own procedures.

Note :

- With regard to the interpretation of the terms used in this form, please refer to Article 8 of the "The Common Reporting Standard and the Due Diligence Procedures for Financial Account Information".