

**Self-certification Form – Individual**  
(Sample form for reference and to be adopted by financial institutions)

**1 Identification of Individual Account Holder** (for joint or multiple account holders, please complete a separate form for each account holder)

Mr. <input type="checkbox"/>				
Ms. / Mrs. <input type="checkbox"/>	Surname	Given Name	Middle Name	
Date of Birth	____ / ____ / ____ (dd / mm / yyyy)	Place of Birth	City	Country

**2 Information on Address of Individual Account Holder**

**2.1 Current Residence Address**

Line1: (Street, Number, Building, Floor, Room) \_\_\_\_\_

Line2: (City) \_\_\_\_\_

Line3: (Province, State) \_\_\_\_\_

Country \_\_\_\_\_ Postal / Zip Code \_\_\_\_\_

**2.2 Mailing Address ( please only complete if mailing address is different to the current residence address )**

Line1: (Street, Number, Building, Floor, Room) \_\_\_\_\_

Line2: (City) \_\_\_\_\_

Line3: (Province, State) \_\_\_\_\_

Country \_\_\_\_\_ Postal / Zip Code \_\_\_\_\_

**3 Jurisdiction of Residence and Taxpayer Identification Number or functional equivalent number (hereinafter referred as “TIN”)**

Please complete the following table, indicating (a) the jurisdiction of residence (including Macao SAR) of the Account Holder and (b) the Account Holder’s TIN for each jurisdiction indicated.

If the Account Holder has more than three jurisdictions of residence, please use a separate sheet.

If the Account Holder has tax obligation in the Macao SAR, the TIN is the taxpayer number or the Macao SAR resident identity card number.

If a TIN is unavailable, please provide the appropriate reason:

**Reason A** – The jurisdiction of residence of the Account Holder does not issue TINs to its residents.

**Reason B** – The Account Holder is otherwise unable to obtain a TIN. (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

**Reason C** – No TIN is required. (The authorities of the jurisdiction of residence do not require the TIN to be disclosed)

Jurisdiction of residence	TIN	If no TIN available, enter Reason A, B or C	If Reason B is selected, please explain why you are unable to obtain a TIN
(1)			
(2)			
(3)			

#### 4 Declarations and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with \_\_\_\_\_ (Financial Institution's name), setting out how \_\_\_\_\_ (Financial Institution's name) may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the Macao SAR Financial Services Bureau and exchanged with tax authorities of such jurisdictions of residence of the Account Holder, pursuant to agreements for exchange financial account information.

I certify that I am the Account Holder / I am authorized to sign for the Account Holder of all the account(s) to which this form relates<sup>#1</sup>.

I undertake to advise \_\_\_\_\_ (Financial Institution's name) of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide \_\_\_\_\_ (Financial Institution's name) with a suitably updated self-certification within xx<sup>#2</sup> days of such change in circumstances.

**I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.**

**Note :**

If you are not the Account Holder, please indicate the capacity in which you are signing the form.

Name \_\_\_\_\_

Capacity \_\_\_\_\_

Signature \_\_\_\_\_

Date \_\_\_\_\_  
( dd / mm / yyyy )

If signing under a power of attorney please also attach a certified copy of the power of attorney.

<sup>#1</sup> Delete where not applicable.

<sup>#2</sup> Financial Institutions may determine the time-limits (for example "30 days") pursuant to "The Common Reporting Standard and the Due Diligence Procedures for Financial Account Information" and their own procedures.

**Note :**

- With regard to the interpretation of the terms used in this form, please refer to Article 8 of the "The Common Reporting Standard and the Due Diligence Procedures for Financial Account Information".